

Preprints are preliminary reports that have not undergone peer review. They should not be considered conclusive, used to inform clinical practice, or referenced by the media as validated information.

# Synergistic effect of tumor chemo-immunotherapy induced by leukocyte-hitchhiking thermal-sensitive micelles

Jing Qi

Zhejiang University

#### Fei-Yang Jin

Zhejiang University

#### Yuchan You

Institute of Pharmaceutics, College of Pharmaceutical Sciences, Zhejiang University, 866 Yu-Hang-Tang Road, Hangzhou, 310058

#### Yan Du

Zhejiang University

#### Di Liu

Zhejiang University

#### Xiao-Ling Xiao-Ling

Zhejiang university

#### Jun Wang

Zhejiang University

#### Luwen Zhu

Zhejiang University

#### Minjiang Chen

Wenzhou Medical University

#### Gaofeng Shu

Zhejiang University

#### Li-Ming Wu

Hepatobiliary and Pancreatic Interventional Treatment Center, Division of Hepatobiliary and Pancreatic Surgery, The First Affiliated Hospital, Zhejiang University School of Medicine

#### Jiansong Ji

Key Laboratory of Imaging Diagnosis and Minimally Invasive Intervention Research <https://orcid.org/0000-0002-6026-3676>

#### Yongzhong Du (  $\triangleq$  [duyongzhong@zju.edu.cn](mailto:duyongzhong@zju.edu.cn) )

Zhejiang University

Keywords: thermal-sensitive micelles, leukocytes, immunogenic cell death, adenosine, immunosuppression

### DOI: <https://doi.org/10.21203/rs.3.rs-152217/v1>

License:  $\circledcirc$  (i) This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full [License](https://creativecommons.org/licenses/by/4.0/)

## Abstract

Some specific chemotherapeutic drugs are able to enhance tumor immunogenicity and facilitate antitumor immunity by inducing immunogenic cell death (ICD). However, tumor immunosuppression induced by the adenosine pathway hampers this effect. In this study, E-selectin-modified thermalsensitive micelles were designed to co-deliver a chemotherapeutic drug (doxorubicin, DOX) and an A2A adenosine receptor antagonist (SCH 58261), which simultaneously exhibited chemo-immunotherapeutic effects when applied with microwave irradiation. After intravenous injection, the fabricated micelles, ES-DSM, effectively adhered to the surface of leukocytes in peripheral blood mediated by E-selectin, and thereby hitchhiking with leukocytes to achieve a higher accumulation at the tumor site. Further, local microwave irradiation was applied to induce hyperthermia and accelerated the release rate of drugs from micelles. Rapidly released DOX induced tumor ICD and elicited tumor-specific immunity, while SCH 58261 alleviated immunosuppression caused by the adenosine pathway, further enhancing DOX-induced antitumor immunity. In conclusion, this study presents a strategy to increase the tumor accumulation of drugs by hitchhiking with leukocytes, and the synergistic strategy of chemo-immunotherapy not only effectively arrested primary tumor growth, but also exhibited superior effects in terms of antimetastasis, antirecurrence and antirechallenge.

## Introduction

Several chemotherapeutic drugs, especially anthracyclines, have been repurposed to provoke antitumor immune responses by inducing immunogenic cell death (ICD) in addition to direct tumor killing effects.<sup>1</sup> Tumor ICD is accompanied by the release of damage-associated molecular patterns (DAMPs), including the exposure of calreticulin (CRT), secretion of adenosine triphosphate (ATP), and release of high mobility group protein B1 (HMGB1).<sup>2-6</sup> These DAMPs have been identified to facilitate dendritic cell (DC) maturation and antigen presentation to naïve T cells.<sup>7, 8</sup> Subsequently, the activation of T cells leads to the recruitment of cytotoxic T cells (CTLs) to the tumor site, thereby promoting tumor-specific cellular immunity, which can further enhance antitumor effects of chemotherapeutic agents.<sup>9, 10</sup>

Despite the ICD induction and immune response initiation of these select chemotherapeutic drugs, there remain challenges. Tumor cells can release large amounts of ATP during ICD induced by chemotherapeutic drugs, which is subsequently metabolized to adenosine (ADO, a potent immunosuppressor) by ectonucleotidases, such as CD39 and CD73.<sup>11</sup> The engagement of ADO and A2A ADO receptors (A2AR, an immune checkpoint) on various immune cell surfaces hampers the immune reaction toward tumor cells, further exacerbating tumor immunosuppression.<sup>12-14</sup> Therefore, the paradoxes between ICD-induced antitumor immunity and ADO-mediated immunosuppression remain a formidable challenge. Fortunately, preclinical studies targeting the adenosinergic pathway have gained much attention for their clinical potential in overcoming tumor-induced immunosuppression. Blockade of the ectonucleotidases that generate ADO, or the A2AR that mediates adenosinergic signals in immune cells, will greatly contribute to restraining tumor growth and metastasis.<sup>15-19</sup> This suggests the possible

benefits of utilizing ADO-related therapeutic approaches in combination with chemotherapeutic drugs with ICD induction ability. In particular, antagonists of A2AR are just occurring to be deployed into oncology, which can block the interaction between ADO and A2AR, thereby alleviating tumor immunosuppression and facilitating the antitumor immune response.<sup>20, 21</sup> It is worth noting that A2AR is widely distributed on a variety of immune cells and is a ubiquitous immune checkpoint, which holds promise for addressing the low response rate of PD-1/PD-L1 blockade therapies.<sup>19</sup> Therefore, the combined application of chemotherapeutic drugs and A2AR antagonists may amplify antitumor efficacy.

However, both chemotherapeutic drugs and A2AR antagonists have limited tumor targeting ability after intravenous administration, which often induces undesirable adverse effects and unsatisfactory efficacy. Smart nanoparticle drug delivery system is an effective way to alter biodistribution of drugs and achieve spatiotemporally controlled drug release, which is beneficial for improving treatment safety and efficacy.<sup>9,</sup> <sup>22-24</sup> Significantly, thermal-sensitive drug delivery system has attracted much attention; hyperthermia stimuli at the tumor site can accelerate the drug release from nanoparticles to achieve precise therapy, and on the other hand, hyperthermia itself can also suppress tumor growth.<sup>25, 26</sup> Despite these advantages, delivering nanoparticle platforms in patients with advanced forms of cancer remains a challenge. Only a fraction of all drug-loaded nanoparticles can reach the tumor site, while the vast majority of nanoparticles are cleared by the reticuloendothelial system (RES), and the clinical translation of the EPR effect from animal models to humans has been proven to be challenging.<sup>27</sup> Additionally, elevated fluid pressures and the lack of well-defined vasculature also hinder the application of nanoparticles in tumor therapy.<sup>28-30</sup>

A strategy that potentially addresses the challenges listed above and optimizes biodistribution in a highly specific manner involves the use of circulating cells to mediate the transport of drug-loaded nanoparticles.<sup>31-35</sup> Specifically, leukocytes, which share similar migration patterns to tumor cells in blood and tissues,<sup>36</sup> can also be utilized to carry drug-loaded nanoparticles and pass challenging biological barriers to accumulate in tumor sites.<sup>37,38</sup>

Inspired by the natural tumor targeting capacity of leukocytes, we herein fabricated E-selectin-modified thermal-sensitive micelles (ES-DSM), which were co-loaded with the chemotherapeutic drug doxorubicin (DOX) and the A2AR antagonist SCH 58261 (hereafter referred to as SCH). After intravenous administration, the ES-DSM could hitch a ride on leukocytes mediated by E-selectin to across biological barriers and achieve increased tumor accumulation. Subsequently, local microwave stimulation was applied to induce hyperthermia and accelerated the release rate of drugs from nanoparticles. Rapidly released DOX not only directly killed tumor cells but also improved tumor immunogenicity by inducing ICD. The maturation and antigen presentation of DCs were facilitated, and further tumor-specific T cell immunity was elicited. On the other hand, released SCH prevented the engagement of ADO with A2AR on the surface of various immune cells, which relieved the immunosuppression phenomenon and further enhanced DOX-induced tumor-specific cellular immunity (Scheme 1). Consequently, considerably enhanced antitumor efficacy might be achieved via the synergistic effect of chemo-immunotherapy.

## Results And Discussion

## Characterization of NTA-PEG-p-(AAm-co-AN)

First, the amphiphilic polymer NTA-PEG-p-(AAm-co-AN) (Figure 1a) was synthesized according to Scheme S1. The chemical structure of the polymers was confirmed by  $1H$  NMR spectra as shown in Figure 1b and S1. The molecular weights of p-(AAm-co-AN) and PEG-p-(AAm-co-AN) were measured as 10.9 kDa and 14.3 kDa respectively. To evaluate the thermal sensitivity of the polymer, turbidity measurements were performed to determine the upper critical solution temperature (UCST) of p-(AAm-co-AN). As shown in Figure 1c, the transmittance of the polymer solution increased from 4°C to 43°C and became constant above 43 °C, which confirmed that the UCST value of the polymer was 43°C. Further, synthesized NTA-PEG-p-(AAm-co-AN) was found to self-assemble into micelles in aqueous solution at ambient temperature, and the critical micelle concentration (CMC) was determined to be 33.2 µg/mL (Figure 1d). Importantly, blank micelles that self-assembled from NTA-PEG-p-(AAm-co-AN) were proven to be thermalsensitive. As exhibited in Figure 1e, blank micelles presented regular and uniform spherical morphologies at both 25°C and 37 °C, but irregular shapes at 43°C and 50°C, supporting the stability of blank micelles at physiological temperature (37°C) as well as their destruction under hyperthermic condition (43°C). NTA in the polymer was used to chelate Ni<sup>2+</sup> to afford Ni-NTA, which could further efficiently bind to the Histag of recombinant E-selectin, thereby introducing E-selectin onto the surface of micelles. The chelating ability of NTA-PEG-p-(AAm-co-AN) to Ni<sup>2+</sup> was demonstrated by ICP-MS, and the result showed that 0.96 mol of  $Ni<sup>2+</sup>$  could be chelated per mole of the polymer.

### Characterization of E-selectin-modified, DOX and SCH co-loaded micelles (ES-DSM)

Subsequently, DOX and SCH co-loaded micelles (DSM) were prepared with feed ratios of DOX and SCH of 4% and 1%, respectively. The encapsulation efficiency and drug loading of DOX were 92.9±0.61% and 2.7±0.01%, respectively, while those of SCH were 41.8±0.97% and 0.41±0.005%, respectively. Further, Eselectin was introduced onto the micelle surface to obtain ES-DSM. As shown in Figure S2, as E-selectin modifications increased, the particle size of ES-DSM increased, while the potential decreased. ES-DSM applied in this study was prepared by adding 2 μg/mL E-selectin into a solution of 1 mg/mL polymer. Figure 1f showed that the particle size and potential of DSM were 164.0±7.0 nm and 3.93±0.05 mV, respectively. However, when E-selectin was introduced onto micelles to form ES-DSM, the particle size increased to 247.7±15.6 nm while the potential decreased to -1.2±0.09 mV, which further proved that the preparation of ES-DSM was successful. The spherical morphology of ES-DSM was also observed by TEM (Figure 1g).

Further, the thermal sensitivity of micelles was investigated by determining particle sizes at different temperatures. As presented in Figure 1h, the size of blank micelles remained below 100 nm at 5 to 37°C, while it was almost undetectable at 43°C and above, which was consistent with the TEM results in Figure

1e. Importantly, the sizes of DSM and ES-DSM increased to more than 1000 nm when detected at 43°C and above, which was due to the dissolution of the micelles under thermal conditions, and the insoluble drugs DOX and SCH were released immediately to form precipitates. Afterwards, the thermal-sensitive in vitro drug release behavior of ES-DSM was evaluated by the dialysis method at 37 and 43°C. As shown in Figure 1*j* and k, under physiological condition (37°C), the drug release rates were relatively slow, and approximately 40% and 50% of SCH and DOX were released, respectively, within 48 hours. However, under thermal condition (43°C), the release rates of SCH and DOX were considerably accelerated and were similar to the profile of free drugs. The rapid drug release behavior of ES-DSM at 43°C was the result of micelle disintegration.

Subsequently, the specific recognition ability of ES-DSM to leukocytes was evaluated. Both DSM and ES-DSM were demonstrated to be biocompatible with leukocytes and had no significant impact on cell viability or penetration ability (Figure S3). At different times after the intravenous injection of DSM or ES-DSM, leukocytes were isolated, and the fluorescence intensity of DOX was detected by flow cytometry. Figure 11 and S4 showed that the fluorescence intensity of leukocytes exhibited a negligible change within 24 hours after DSM injection but was significantly enhanced after ES-DSM injection, and approximately 30% of leukocytes were DOX positive at 24 h post-injection. In addition, leukocytes were isolated 24 h after injection and observed by confocal microscopy, which demonstrated that ES-DSM adhered to the surface of leukocytes (Figure 1i). Taken together, in contrast to DSM, ES-DSM presented an efficient leukocyte targeting ability and adhered to the surface of leukocytes, further emphasizing the important role of E-selectin in the hitchhiking of micelles to leukocytes.

#### Cellular drug release, cytotoxicity and ICD induction ability of ES-DSM supplemented with hyperthermia

Next, the thermal-sensitive drug release behavior at the cellular level was investigated by confocal microscopy. First, Nile red was used as the model drug to prepare Nile red-loaded micelles. When 4T1 cells were exposed to Nile red-loaded micelles and treated with hyperthermia (+), Nile red was released rapidly and bound with the intracellular lipid membrane, and fluorescence was observed, which was similar to free Nile red. However, cells without hyperthermia (-) exhibited weaker fluorescence intensity because the drug was not released (Figure 2a and S5). In addition, when 4T1 cells were exposed to DOXloaded micelles, after being treated with hyperthermia (+), DOX was liberated and obviously entered the nucleus, which was similar to free DOX. When treated without hyperthermia (-), DOX resided in micelles and was therefore mainly distributed in the cytoplasm (Figure 2b). These results indicated the thermalsensitive nature of drug-loaded micelles at the cellular level.

Then, the cytotoxicity of free DOX and SCH (DS), DSM and ES-DSM was assessed. Initially, the biocompatibility of blank micelles was confirmed, and hyperthermia treatment did not affect 4T1 cell viability (Figure S6). After exposure to DS, DSM or ES-DSM with or without hyperthermia, 4T1 cell viability was measured by MTT assay. In Figure 2c and d, there was no significant difference in cytotoxicity between the groups of DS supplemented with or without hyperthermia (IC $_{50}$  values were 8.50 and 8.45 μM, respectively). However, compared to the DSM and ES-DSM treated groups (IC<sub>50</sub> values were 30.70

and 29.35  $\mu$ M, respectively), the hyperthermia treated groups exhibited higher cytotoxicity (IC<sub>50</sub> values were 11.25 and 10.50 μM, respectively), which was similar to the toxicity of free drugs (DS). The reason for this difference was that the drugs could be released immediately from micelles under the thermal condition to execute their tumor cell killing function. Importantly, the modification of E-selectin exhibited negligible interference on the cytotoxicity of drug-loaded micelles. In addition, 4T1 cell apoptosis induced by different treatments was detected by flow cytometry. As displayed in Figure 2e and f, the DSM and ES-DSM treated groups supplemented with hyperthermia presented more severe early and late apoptosis than the unheated groups. All of these results indicated that the drug-loaded micelles applied with hyperthermia exhibited more effective antitumor effect than the unheated groups, which was attributed to the thermal-sensitive release behavior of drugs from micelles.

In addition, the ICD induction ability of drug-loaded micelles was analyzed. DOX can efficiently induce ICD in tumors, which is accompanied by the exposure of CRT, secretion of ATP, and release of HMGB1 (Figure 2g). Therefore, we tested whether enhanced CRT, ATP and HMGB1 were observed when 4T1 cells were incubated with different agents with or without hyperthermia. Figures 2h-k showed that hyperthermia promoted the exposure of ICD biomarkers induced by DSM and ES-DSM. The levels of CRT, ATP and HMGB1 increased when the drug-loaded micelles were combined with hyperthermia, which was similar to the free drugs.

## Maturation of DCs in the binary co-incubation system

During the ICD process of tumor cells, CRT is overexpressed and provides an "eat-me" signal for dendritic cell uptake, <sup>4, 5</sup> while released HMGB1 and ATP serve as adjuvant stimuli for dendritic cell maturation (Figure 3a).<sup>39</sup> Therefore, after 4T1 cells were exposed to different agents with or without hyperthermia and incubated for 24 h, immature DCs were added to co-incubate for another 48 h, and biomarkers of mature DCs (CD80, CD86 and MHC  $\Box$ ) were analyzed by flow cytometry. As shown in Figure 3b-c, f-g and S7, when 4T1 cells were pretreated with DSM or ES-DSM and hyperthermia, they promoted the maturation of DCs. The expression of CD80, CD86 and MHC II was similar to that in the free drug (DS) treated groups but significantly higher than that in the unheated DSM or ES-DSM treated groups. Moreover, immunologic factors secreted by DCs were monitored by ELISA kits. Figure 3h-j demonstrated that levels of IL-12p70 (a DC-secreted immune-related cytokine) and IL-6 in the suspension of the co-incubation system increased while IL-10 decreased when DSM or ES-DSM were applied in combination with hyperthermia, which was consistent with the DS treated groups. These results further supported the thermal-sensitive property of the drug-loaded micelles and that the ICD of tumor cells facilitated DC maturation.

It is worth noting that ADO in the tumor environment can bind to A2AR on the DC surface, thereby inhibiting DC maturation and antigen presentation. SCH serves as an antagonist to block the interaction between ADO and A2AR at the DC surface, further relieving the immunosuppression of DCs (Figure 3a). To verify the effect of SCH on the immune response, 1 μM of NECA (an analog of ADO) was added to the co-incubation system to simulate the tumor microenvironment, <sup>40</sup> and then DC maturation was evaluated. As displayed in Figure d-e, k-l and S8, when only DOX (groups of D, DM and ES-DM with hyperthermia)

was in the co-incubation system, the expression of CD80, CD86 and MHC II was lower than that of the groups containing both DOX and SCH (groups of DS, DSM and ES-DSM with hyperthermia), which also exhibited more secretion of IL-12p70 and IL-6 but less IL-10 (Figure 3m-o). These results showed that the presence of NECA arrested the maturation of DCs, but SCH relieved this phenomenon by blocking the interaction between NECA and A2AR.

### Activation of T cells in the ternary co-incubation system

Mature DCs facilitated by tumor ICD can present antigens to naïve T cells, further promote their differentiation into cytotoxic  $T$  cells (CTLs) or regulatory  $T$  cells (Tregs), and finally elicit  $T$  cell immune responses (Figure 4a). Therefore, a ternary co-incubation system of 4T1 cells (which had been pretreated with different agents with or without hyperthermia), immature DCs, and splenic lymphocytes was constructed and cultured for 48 h. Subsequently, the proliferation of CD3+CD4+ and CD3+CD8+ T cells was analyzed. As exhibited in Figure 4b and d-e, when 4T1 cells were pretreated with DSM or ES-DSM in combination with hyperthermia, both CD3<sup>+</sup>CD4<sup>+</sup> and CD3<sup>+</sup>CD8<sup>+</sup> T cells in the co-incubation system proliferated significantly and were more abundant than those in unheated groups. The negligible difference between the drug-loaded micelles with hyperthermia and free drugs treated groups suggested that the thermal-sensitive drug release behavior enabled micelles to execute the efficient antitumor effect. Further, CD4<sup>+</sup>Foxp3<sup>+</sup> T cells, known as regulatory T cells (Tregs), which can hamper effective antitumor immunity, were obviously decreased when DSM and ES-DSM were applied with hyperthermia, suggesting that tumor ICD effectively stimulated T cell immunity and weakened the immunosuppressive effect of Tregs (Figure S9). Besides, the cytokines (TNF-α, IL-2 and IFN-γ) secreted by lymphocytes in the coincubation system treated with drug-loaded micelles with hyperthermia exhibited a trend similar to that of the free drug groups (Figure 4f-h). These results proved that the 4T1 cell ICD induced by thermal-sensitive drug-loaded micelles facilitated the antigen presenting ability of DCs to naïve T cells, further promoting their differentiation into CTLs rather than Tregs.

Importantly, ADO can interact with A2AR on the surface of T cells to inhibit the antitumor effect of CTLs and facilitate the immunosuppressive impact of Tregs. Fortunately, SCH can block the interaction between ADO and A2AR on the T cell surface, thereby reversing the undesired immunosuppressive phenomenon (Figure 4a). To verify this effect, 1 μM of NECA was added to the ternary co-incubation system, and the percentages of CD3<sup>+</sup>CD4<sup>+</sup>, CD3<sup>+</sup>CD8<sup>+</sup> and CD4<sup>+</sup> Foxp3<sup>+</sup> T cells were detected. Figure 4c, i-j and S10 showed that the application of SCH (groups of DS, DSM and ES-DSM with hyperthermia) liberated T cells from the negative impact of NECA and promoted the proliferation of antitumor T cells. In addition, the levels of secreted cytokines (TNF-α, IL-2 and IFN-γ) also demonstrated the antiimmunosuppressive effect of SCH (Figure 4k-m).

### In vivo antitumor efficacy of ES-DSM with microwave radiation (MW)

Next, the biodistribution of drug-loaded micelles was investigated in 4T1 tumor-bearing mice, and ICG was used as the model drug. ICG-loaded micelles with or without E-selectin modification were

intravenously injected. As shown in Figure 5a and S11, ICG-loaded micelles with or without E-selectin modification accumulated at the tumor site. However, E-selectin-modified micelles exhibited less liver accumulation and more tumor targeting. Further, CD45 (a biomarker of leukocytes) in tumor sections was labeled and observed. As displayed in Figure 5b, the fluorescence of ICG (red) and CD45 (green) overlapped obviously after the injection of E-selectin-modified ICG-loaded micelles, indicating that the increase of micelles in tumors was benefited from hitching a ride on leukocytes.

Thereafter, the antitumor efficacy of DSM and ES-DSM was explored and the treatment regimen was displayed in Figure 5c. Mice were intravenously (i.v.) injected with different agents every 3 days, and in situ microwave thermotherapy was performed 24 h after i.v. injection, for 4 consecutive doses. In addition, to examine the effect of CD8<sup>+</sup> T cells on the antitumor immune response, an anti-CD8 antibody was intraperitoneally (i.p.) injected every 3 days to deplete CD8<sup>+</sup> T cells starting on day -3. The body weight of the free drug treated group (DS+MW) decreased significantly compared to that of the other drug-loaded micelle groups, suggesting that the micelles reduced the side effects of free drugs (Figure 5d). Changesin tumor volume were shown in Figure 5e-f and S12, and the photograph of tumor tissues at the end of the observation period was displayed in Figure 5h. Compared with the group treated with saline (Saline), the application of microwave radiation (Saline+MW) exhibited negligible efficacy, and the tumor inhibition rate was approximately 7.2%. Mice treated with free drugs and microwave hyperthermia (DS+MW) showed a tumor inhibition rate of about 47.8%. Importantly, drug-loaded micelles plus microwave hyperthermia (DSM+MW) exhibited a better efficacy (approximately 73.5%). It is worth noting that, in comparison with the DSM+MW group, the E-selectin-modified drug-loaded micelles combined with microwave hyperthermia (ES-DSM+MW) group presented a better tumor inhibition effect (about 87.7%), which was due to the satisfactory tumor targeting efficiency of ES-DSM mediated by leukocytes. In addition, when applied without microwave radiation, ES-DSM treated mice exhibited a poor antitumor effect with an inhibition rate of about 33.6%, which was because the drugs were trapped in the micelles without hyperthermia stimulation and could not be released to execute their function. Further, the Eselectin-modified DOX-loaded micelles supplemented with microwave radiation (ES-DM+MW) group exhibited an approximately 49.8% tumor inhibition rate, which was not as effective as that of ES-DSM+MW group, suggesting the important role of SCH in antitumor efficiacy. Moreover, there was a negligible antitumor effect when CD8<sup>+</sup> T cells of mice were depleted (ES-DSM+MW+anti-CD8), indicating that CD8<sup>+</sup> T cells were indispensable for the antitumor efficacy. Furthermore, the survival time of mice in the ES-DSM+MW group was significantly prolonged compared to that of the other groups (Figure 5g). Further, tumor tissues of different groups were collected and used for pathological study. TUNEL (Figure 5) and H&E (Figure S13) staining of tumor tissues definitely proved that ES-DSM+MW led to a large amount of cell apoptosis and necrosis compared to that in the other groups.

Metastasis is one of the most important reasons for high mortality in cancer patients. Therefore, pulmonary metastasis in each group of mice was evaluated. At the end of the observation period, lung tissues were collected for the observation of metastatic tumor nodules. Figure 5i and k suggested that ES-DSM applied with microwave hyperthermia remarkably suppressed pulmonary metastasis compared to other treatments. This conclusion was further verified by the H&E staining of lung tissues (Figure 5I). All of these results indicated that ES-DSM+MW efficiently prevented pulmonary metastasis in tumorbearing mice.

#### Immune response elicited by ES-DSM with microwave radiation (MW)

Further, the in vivo immune response elicited by ES-DSM+MW was investigated. First, mature DCs in tumors and sentinel lymph nodes (SLNs) were analyzed by flow cytometry. As exhibited in Figure S14 and S15, biomarkers of mature DCs (CD80<sup>+</sup> and CD86<sup>+</sup>) in the ES-DSM+MW group were significantly higher than those in the other groups. Since primary CTLs (CD8<sup>+</sup>T cells) responses are important in suppressing tumor growth and helper T cells (CD4<sup>+</sup> T cells) play important roles in the regulation of adaptive immunity, they are considered critical effectors for cancer immunotherapy. <sup>41</sup> Therefore, at the end of the observation period, PBMCs, spleens (Figure S16) and tumors (Figure 6a and S17a-b) were obtained from each group and T cells were measured by flow cytometry. In comparison to the other groups, the ratios of CD3<sup>+</sup>CD4<sup>+</sup> and CD3<sup>+</sup>CD8<sup>+</sup> T cells were considerably increased in the ES-DSM+MW group. In contrast, CD4<sup>+</sup>Foxp3<sup>+</sup> T cells, known as regulatory T cells (Tregs), which can hamper effective antitumor immunity, were significantly decreased in the tumor tissue of the ES-DSM+MW treated group (Figure 6b and S17c). Further, tumor-specific memory T cells (TMEs) were analyzed by detecting the ratio of CD8<sup>+</sup>CD44<sup>+</sup> T cells. A remarkable increase in the percentage of TEMs in both spleens (Figure 6c and e) and tumors (Figure 6d and f) was observed, suggesting strong immune surveillance in mice after ES-DSM+MW treatment. Subsequently, antitumor cytokine levels (TNF-α, IFN-γ and IL-2) in the serum, spleen and tumor of mice were measured and displayed in Figures 6g-i. The results suggested that cytokine levels of mice in the ES-DSM+MW group were the highest, indicating the best antitumor immune response. Taken together, the immune response in the ES-DSM+MW group was stronger than that of the DSM+MW and ES-DM+MW groups, which was due to the better tumor targeting ability mediated by Eselectin and the anti-immunosuppressive effect of SCH. Moreover, when ES-DSM were applied without MW, the immune response in mice was unsatisfactory because the drugs were difficult to be released from the micelles to execute antitumor functions.

The exposure of DAMPs during tumor ICD was an important factor in eliciting antitumor immunity; therefore, levels of CRT and HMGB1 in tumor tissues after different treatments were examined. As Figure 6<sup>j-</sup>k displayed, ES-DSM+MW treatment induced dramatic increases in CRT and HMGB1 in tumor tissues, supporting the remarkable ICD induction ability of this strategy. Tumor-infiltrating CD8<sup>+</sup> T cells (Figure 6I), CD69<sup>+</sup> T cells (Figure S18a) and perforin (Figure S18b) were also increased after ES-DSM+MW treatment. In contrast, the biomarker of Tregs, Foxp3, was significantly reduced (Figure 6m). Altogether, these results demonstrated that the combination of ES-DSM and microwave thermotherapy induced strong ICD and generate a robust immune response at the tumor site.

#### Antimetastasis, antirecurrence and antirechallenge efficacy of ES-DSM with microwave radiation (MW)

To further confirm the treatment efficacy of ES-DSM+MW on the inhibition of pulmonary metastasis, a 4T1 pulmonary metastatic tumor model was established by injecting Luc-4T1 cells into mice via the tail vein, followed by different treatments (Figure 7a). Pulmonary metastatic tumors of mice in each group were monitored by the bioluminescence signal at days 5, 10 and 20, and the lungs were isolated for bioluminescence imaging at day 20. Representative images were displayed in Figure 7b-c, and treatment with ES-DSM+MW showed the strongest antitumor efficacy against pulmonary metastatic tumors. However, the ES-DM+MW group exhibited a poor antimetastatic effec because immunosuppression could not be alleviated and the antitumor immune response cannot be activated effectively in the absence of SCH.

Moreover, a recurrent and rechallenged tumor model was established and treated as shown in **Figure 7d**. After different treatments, 90% of the primary tumor was removed surgically on day 12. The residual tumor bed was further monitored and the growth of recurrent tumor was displayed in Figure 7e, which suggested that ES-DSM+MW treatment significantly inhibited the recurrence of tumor after surgery, followed by the DSM+MW group. Meanwhile, a second tumor was inoculated on the other side of mice on day 12 and the growth of the rechallenged tumor was shown in Figure 7f. Similarly, the growth of the rechallenged tumor in the ES-DSM+MW group was the most inhibited, but treatment with ES-DM+MW did not arrest the growth of rechallenged tumor. The growth of recurrent and rechallenged tumors depended on the level of immune memory after different treatments. As the remarkably increase in the TEM percentage was demonstrated in mice treated with ES-DSM+MW (Figure 6c-f), the residual tumor bed and the second inoculated tumor could be recognized and killed immediately by TEMs. In addition, the infiltrating CD8<sup>+</sup> T cells in rechallenged tumor were remarkably increased in the ES-DSM+MW group, while Foxp3<sup>+</sup> T cells (Tregs) were greatly reduced (Figure 7g), further emphasizing the importance of the immune response in the antitumor process.

### **Biocompatibility**

Equally important, the biocompatibility of the various treatments was also verified by hemolysis assay and H&E staining. There was no hemolysis caused by the drug-loaded micelles (Figure S19). In comparison to the cardiotoxicity of free drugs, the major organs of mice in the drug-loaded micelles treated groups appeared to be normal, without obvious histopathological abnormalities, degeneration, or lesions, indicating that no cellular or tissue damage occurred (Figure S20).

## **Conclusion**

In summary, we developed E-selectin-modified thermal-sensitive micelles to co-deliver a chemotherapy agent (DOX) and an immune checkpoint inhibitor (SCH 58261). After intravenous administration, the fabricated ES-DSM can hitchhike with leukocytes mediated by E-selectin to achieve a higher accumulation of drugs at the tumor site. Then, local microwave irradiation can be applied to induce hyperthermia and accelerate the release rate of drugs. Rapidly released DOX can not only directly kill tumor cells but can also improve the immunogenicity of tumors by inducing ICD. Released DAMPs

facilitate the maturation and antigen presentation of DCs, further eliciting tumor-specific T cell immunity. On the other hand, the released SCH can prevent the engagement of ADO with A2AR on the surface of various immune cells, which can liberate the antitumor responses of DCs and CTLs while hampering the activity of Tregs. Consequently, tumor immunosuppression is relieved, and DOX-induced tumor-specific cellular immunity is enhanced. Ultimately, considerably enhanced antitumor efficiency will be achieved via the synergistic effect of chemo-immunotherapy.

## **Methods**

Materials. Acrylonitrile (AN) was purchased from Qinghongfu Technology Co., Ltd. (Beijing, China) and purified by atmospheric distillation before use. Acrylamide (AAm), 4,4'-azobis (4-cyanovaleric acid) (ACVA), dimethyl sulfoxide (DMSO) and azelaic acid were provided by Aladdin (Shanghai, China). The amino polyethylene glycol amine (H<sub>2</sub>N-PEG-NH<sub>2</sub>) (Mw=5kDa) was purchased from ToYongBio Tech.Inc. (Shanghai, China). Nα,Nα-Bis (carboxymethyl)-L-lysine (NTA) was obtained from Energy Chemical (Shanghai, China). Doxorubicin hydrochloride and indocyanine green (ICG) were brought from Meilun Biotechnology Co., Ltd. (Dalian, China). SCH 58261 was purchased from TCI (Tokyo, Japan). Nile red was obtained from Aladdin (Shanghai, China). Recombinant mouse E-selectin Fc chimera (ES) was from R&D Systems (Minneapolis, USA). 5′-(N-ethylcarboxamido)adenosine (NECA) was bought from ApexBio Technology LLC (Houston, USA). RPMI 1640 medium and fetal bovine serum (FBS) obtained from Sigma (St. Louis, MO, USA) and Sijiqing Biological Engineering Materials Co. Ltd. (Hangzhou, China), respectively. The ELISA kits were all purchased from Meimian industrial Co., Ltd. (Jiangsu, China).

Cell culture and animals. The murine 4T1 breast cancer cells and Luc-4T1 (luciferase-expressing mouse breast carcinoma) cells were cultured in RPMI 1640 medium supplemented with 10% (v/v) FBS and penicillin/streptomycin (100 U/mL of each) and maintained in the cell incubator (37°C and 5% CO<sub>2</sub>). The cells were regularly split using trypsin/EDTA. For the hyperthermia treated groups, the cells were placed in the cell incubator (43°C and 5% CO<sub>2</sub>, 30min) immediately after adding the test agents, followed by incubation at 37℃ for pre-set time period.

Balb/c mice (female, 6 to 8 weeks old, 18-20 g) were purchased from Slack Laboratory Animal Co., Ltd (Shanghai, China). All animal experiments were performed in accordance with the National Institutes of Health Guide for the Care and Use of Laboratory Animals with the approval of the Scientific Investigation Board of Zhejiang University, Hangzhou, China.

Synthesis and characterization of NTA-PEG-p-(AAm-co-AN). Firstly, p-(AAm-co-AN) with a UCST of 43°C was synthesized by solution copolymerization of AN and AAm initiated by ACVA. Briefly, 10.95g (150mmol) of AAm was weighed into a 500-mL three-necked flask and dissolved in 170mL of anhydrous DMSO. Subsequently, 2.55g (50mmol) of AN was added. Nitrogen was pumped for 1 h to remove the oxygen from the system. After that, 30mL of separately degassed anhydrous DMSO containing 0.519g (1.853mmol) of ACVA was dropped into the system through a constant pressure dropping funnel. Then placed the flask into a water bath which had been preheated to 65°C. The reaction mixture was

subsequently polymerized for 8h under nitrogen protection and rapidly cooled to room temperature in an ice bath. The product was precipitated in 10-fold excess volume of methanol. The precipitate was then washed thrice with methanol and dried in a vacuum oven at 70°C for 24h.

Next the H<sub>2</sub>N-PEG-NH<sub>2</sub> was introduced to p-(AAm-co-AN) through the chemical reaction between one of the amine groups in  $H_2N$ -PEG-NH<sub>2</sub> and the carboxyl groups of p-(AAm-co-AN). Briefly, 500mg (0.1mmol) of p-(AAm-co-AN) was weighed into a 50-mL flask and dissolved in 10mL of DMSO, to which 95mg (0.5mmol) of EDC and 57mg (0.5mmol) of NHS was added and stirred at room temperature for 4h. Subsequently, the mixture solution was added dropwise to 10mL DMSO containing 500mg (0.2mmol) of H<sub>2</sub>N-PEG-NH<sub>2</sub> (Mw=5kDa) at 50°C. The reaction mixture was stirred for 48h and then dialysis against deionized water with a dialysis membrane (MWCO: 8~14kDa) for 48h, followed by lyophilization and the PEG-p-(AAm-co-AN) was obtained.

Then the NTA was grafted onto PEG-p-(AAm-co-AN) with azelaic acid as the linker. Briefly, 19mg (100μmol) of azelaic acid was dissolved in 10mL of DMSO, to which 20mg (100μmol) of EDC and 11.5mg (100μmol) of NHS was added and stirred at room temperature for 10h to activate one of the carboxyl groups of azelaic acid. Subsequently, 500mg (33.5μmol) of PEG-p-(AAm-co-AN) was dissolved in 10mL of DMSO and added dropwise into above mixture solution, 67μmol of triethylamine was also supplemented. The reaction mixture was stirred for 17h at room temperature and then dialysis against deionized water with a dialysis membrane (MWCO: 3.5kDa) for 48h, followed by lyophilization to afford the carboxyl-containing PEG-p-(AAm-co-AN). Next, 420mg (28μmol) of carboxyl-containing PEG-p-(AAmco-AN) was dissolved in 10mL of DMSO, 54mg (280μmol) of EDC and 32.5mg (280μmol) of NHS was added and stirred at room temperature for 4h. Then 147mg (560μmol) of NTA and 1.12mmol of triethylamine were dissolved in 10mL of DMSO/H<sub>2</sub>O mixed solution (DMSO:H<sub>2</sub>O=3:2), added dropwise into above solution and reacted at room temperature for 24h. After dialysis against deionized water with a dialysis membrane (MWCO: 3.5kDa) for 48h and lyophilization, the final product NTA-PEG-p-(AAm-co-AN) was afforded.

The <sup>1</sup>H-NMR spectra of the polymers were obtained using an NMR spectrometer (AC-80, BrukerBioSpin, Germany). p-(AAm-co-AN), PEG, PEG-p-(AAm-co-AN) and NTA-PEG-p-(AAm-co-AN) were dissolved in DMSO-d6 at concentrations of 20mg/mL. The molecular weights of p-(AAm-co-AN) and PEG-p-(AAm-co-AN) were analyzed using gel permeation chromatography (GPC) with DMSO as an eluent. PLgel MIXED-C columns (particle size: 5mm; dimensions: 7.5mm × 300mm) that had been calibrated with narrow dextran monodisperse standards were employed with a differential refractive index detector. The flow rate was 0.6mL/min. Dispersed the polymers in water at a concentration of 2mg/mL to facilitate the determination of UCST value, the optical transmittance of polymer solutions at different temperature was measured at 637nm using an ultraviolet-visible spectrophotometer (UV-2401, Shimadzu, Japan). The UCST value of p-(AAm-co-AN) was determined at the temperature when the optical transmittance became constant. The critical micelle concentration (CMC) of NTA-PEG-p-(AAm-co-AN) was determined using fluorescence spectroscopy and pyrene as a probe. Pyrene was first dissolved in acetone at a

concentration of 0.0012mg/mL and added into tubes. Following evaporation of the acetone at 50°C, 5 mL of polymer solutions at different concentrations ranging from 2 to 1000μg/mL were added. After the solution was treated with water bath ultrasonication for 30 min, the emission spectra were recorded on a fluorescence spectrophotometer (F-2500, Hitachi High-Technologies Co., Japan) at room temperature. The excitation wavelength was 336 nm, and the slit widths were set at 10 nm (excitation) and 2.5 nm (emission). The pyrene emission was monitored over a wavelength range of 360-450 nm. From the pyrene emission spectra, the intensity ratio of the first peak (I<sub>1</sub>, 374 nm) to the third peak (I<sub>3</sub>, 384 nm) was analysed and used to calculate the CMC.

Thermal sensitivity of blank micelles. The NTA-PEG-p-(AAm-co-AN) was dispersed in water at a concentration of 0.5mg/mL, followed by 30 rounds of probe-type ultrasonic treatment (pulsed every 2s for a 3s duration, 400W). After stirring at 25°C for 0.5h, the blank micelles solution was obtained. The blank micelles solution was quartered and incubated at different temperature (25, 37, 43, 50°C) for 0.5h, dropped onto the preheated copper grids and dry at corresponding temperature. Subsequently, the morphologies of blank micelles at different temperature were observed by TEM.

Preparation and characterization of E-selectin modified DOX/SCH co-loaded micelles (ES-DSM). The DOX used in the preparation of drug-loaded micelles was obtained by the reaction between DOX·HCl and two molar equivalents of triethylamine in DMSO for 24 h. Dialysis against water to precipitate the insoluble DOX, followed by centrifuging and lyophilizing to obtain DOX powder for further use. 20mg of NTA-PEG-p- (AAm-co-AN) was dispersed in 3mL of water and treated by probe ultrasound for 30 rounds, stirring at 25°C for 0.5h to form the stable blank micelles. DOX and SCH 58261 (SCH) were dissolved together in DMSO at the final concentrations of 0.8mg/mL and 0.2mg/mL, respectively. Then 1mL of DMSO solution of DOX/SCH was added dropwise to the micelles solution with constant stirring (DOX: SCH: polymer= 4:1:100). Subsequently, 3mg of NiCl $_2$ ·H $_2$ O was added and the mixture was stirred at 25°C for anther 2h, followed by dialyzing against water (MWCO: 3.5 kDa) for 24h and centrifuging at 4000rpm for 10min to eliminate aggregates of non-encapsulated DOX/SCH. Ultimately, the solution of DOX/SCH co-loaded micelles (DSM) was lyophilized and stored at 4°C. E-selectin could be introduced onto the surface of DSM between the interaction of His-tag of E-selectin and Ni-NTA of polymer. Briefly, different concentrations of E-selectin (0, 0.1, 0.2, 0.5, 1, 2, 3μg/mL) were added to the DSM solution (at a polymer concentration of 1mg/mL) respectively, incubated at 37°C for 1h and further in 4°C overnight to afford the E-selectin modified DSM (ES-DSM). The preparation of DOX loaded micelles (DM and ES-DM) were the same as above, except the absence of SCH. The particle sizes and zeta potentials of DSM and ES-DSM were recorded by dynamic light scattering (DLS) (Zetasizer, 3000HS, 66 Malvern Instruments Ltd.). The morphology of ES-DSM was observed by transmission electron microscopy (TEM) (JEOL JEM-1230, Japan). The encapsulation efficiency (EE) and drug loading (DL) were determined by fluorospectro photometer (DOX: Ex=480nm, Em=560nm, Slit width=5nm; SCH: Ex=320nm, Em=385nm, Slit width=5nm). Briefly, the drug-loaded micelles were disrupted by DMSO and the total DOX and SCH contents were quantified. EE% and DL% were calculated by the following formulas:

 $EE\% = \frac{\text{mass of drug encapsulated into micelles}}{\text{mass of drug added}} \times 100\%$ mass of drug added  $DL\% = \frac{mass\ of\ drug\ encapsulated\ into\ micelles}{mass\ of\ drug\-loaded\ micelles} \times 100\%$ 

Thermal-triggered size changes of micelles. The size changes of micelles in response to temperature were monitored by DLS. The sizes of blank micelles, DSM and ES-DSM in different temperatures (5, 25, 25, 37, 43, 50°C) were measured. The samples (at a polymer concentration of 1mg/mL) were incubated at the corresponding temperature for 5 minutes before measurement. There are three repeat groups for each sample.

Thermal-sensitive in vitro drug release behavior of ES-DSM. The DOX and SCH release profiles of ES-DSM in different temperatures were tested by dialysis method. The dialysis bags (MWCO: 3.5 kDa) containing 1mL of free DOX and SCH (DS), and ES-DSM (concentrations of DOX and SCH were 90μg/mL and 15μg/mL, respectively) were immersed into falcon tubes containing 30mL PBS (pH 7.4).The tubes were put into incubator shakers (37℃ and 43℃, respectively) and horizontally shaken at 60rpm/min. At each pre-set time point, the release media were collected and replaced with fresh PBS. The DOX and SCH contents in the release media were detected by fluorospectro photometer. Each time point was performed trice.

Leukocyte-adhering ability of ES-DSM. 200µL of DSM or ES-DSM (concentrations of DOX and SCH were 300μg/mL and 50μg/mL, respectively) was injected into the mice via the tail vein, and at 2, 8 and 24h after injection, the leukocytes of treated mice were isolated. The DOX fluorescence on the obtained leukocytes was analyzed by flow cytometry (ACEA NovoCyte, USA) and confocal laser scanning microscope (CLSM) (Leica SP8, Germany).

**Thermal-sensitive drug release behavior of micelles at cellular level.** Firstly, Nile red was loaded into the micelles. The preparation of Nile red-loaded micelles was the same as DSM, excepted the model drug used was Nile red instead of DOX/SCH. 4T1 cells were suspended in RPMI 1640 medium and seeded in 12-well plate at a density of  $1\times10^5$  cells per well and allowed to attach overnight. Subsequently, the cells were treated with free Nile red or Nile red-loaded micelles (at a final Nile red concentration of 0.1μg/mL) and the hyperthermia treated groups were placed in the cell incubator (43°C and 5% CO<sub>2</sub>, 30min) immediately, followed by incubation at 37℃ for 6h. After washed trice with PBS, the cells were harvested and fluorescence intensity was detected by flow cytometry. Besides, the cell fluorescence was also observed by CLSM. After incubation and washed trice with PBS, the cells were fixed and the nuclei were stained by DAPI, followed by CLSM observation.

Then, DOX was loaded into the micelles. The free DOX and DOX-loaded micelles were added to 4T1 cells at a final DOX concentration of 4.5µg/mL. After treated with hyperthermia and 6h incubation, the cells were washed trice with PBS and fixed. After staining by DAPI, the cells were observed by CLSM.

Cytotoxicity and apoptosis. Firstly, the cytotoxicity of blank micelles was measured by MTT assay. 4T1 cells were suspended in RPMI 1640 medium and seeded in 96-well plate at a density of  $1\times10^4$  cells per well and allowed to attach overnight. Then the cells were exposed to blank micelles at a series of concentrations (0, 100, 200, 400, 600, 800, 1000μg/mL) for 48 hours. The hyperthermia treated groups were placed in the 43℃ cell incubator for 30min, followed by incubation at 37℃ until 48h. Subsequently, 20μL of 3-(4,5-Dimethyl-2-thiazolyl)-2,5-diphenyl-2H-tetrazolium bromide (MTT) solution (5mg/mL) was added to each well for an additional 4 hours incubation at 37℃. After that, the medium was replaced with 100μL of DMSO to dissolve the purple formazan crystals in the bottom of the well. The plate was shaken for 30min, and the absorbance of the solution in each well was measured by microplate reader at 570nm. Cell viability was calculated in reference to negative cells without exposure to test agents. All of experiments were repeated thrice.

Subsequently, the cytotoxicity of free DOX/SCH (DS), DSM and ES-DSM combined with or without hyperthermia were determined by MTT assay. 4T1 cells were suspended in RPMI 1640 medium and seeded in 96-well plate at a density of  $1\times10^4$  cells per well and allowed to attach overnight. Then the cells were exposed to DS, DSM or ES-DSM at different drug concentrations for 48hours (the concentration ratio of DOX and SCH is 6:1). The hyperthermia treated groups were immediately placed in the cell incubator which had pre-set to 43°C for 30min after exposing to the test agents, followed by incubation at 37°C until 48h. Cell viability was measured as described above.

Cell apoptosis induced by DS, DSM and ES-DSM combined with or without hyperthermia were investigated by flow cytometry. 4T1 cells were suspended in RPMI 1640 medium and seeded in 12-well plate at a density of 1×10<sup>5</sup> cells per well and allowed to attach overnight. Subsequently, the cells were exposed to DS, DSM or ES-DSM (concentrations of DOX and SCH were 4.5μg/mL and 0.75μg/mL, respectively) and treated with or without hyperthermia. After a 24h-incubation, cells were harvested and stained by the Annexin V-FITC/PI apoptosis detection kit (Beyotime Biotech, China) according to the manufacturer's instructions, followed by flow cytometer analysis.

**Detection of the ICD biomarkers.** The exposure of DAMPs (CRT, HMGB1 and ATP) of tumor cells after different treatment were detected. Briefly, 4T1 cells were treated with DS, DSM or ES-DSM (concentrations of DOX and SCH were 4.5μg/mL and 0.75μg/mL, respectively) with or without hyperthermia. The expression of CRT was observed by their immunofluorescence via CLSM at the time of 12h (Calreticulin Rabbit Monoclonal Antibody, 1:500, Beyotime, China). Semi-quantitative analysis was performed using Image J software. After incubating for 48h, the cell culture supernatant was collected and the contents of ATP and HMGB1 were detected by corresponding ELISA kits.

Co-incubation of tumor cells and bone-marrow-derived DCs. The murine bone-marrow-derived DCs (SMDCs) were isolated from 6-week old Balb/c female mice according to the established protocols.<sup>1, 2</sup> Briefly, the bone marrow of mice was collected via flushing the femurs and tibias with PBS, and red blood cells were lysed. The remaining cells were washed twice with PBS and cultured in the complete RPMI 1640 medium containing recombinant murine GM-CSF (20ng/mL) (MedChemExpress, USA) for 6 days to

acquire the immature DCs. On day 7, the immature DCs were co-incubated with 4T1 cells which had been previously treated with PBS, DS, DSM or ES-DSM (concentrations of DOX and SCH were 4.5μg/mL and 0.75μg/mL, respectively) (supplemented with or without hyperthermia) 24h ago. After a 48h-coincubation, DCs were stained with the indicated antibodies including PE-CD80, APC-CD86 (BioLegend, USA) and PE-MHC II (ThermoFisher, USA), analyzed by flow cytometry. In addition, the cytokine levels in the supernatant of the co-incubation system including IL-12p70, IL-6 and IL-10 were detected using ELISA kits.

Besides, the immature DCs were co-incubated with 4T1 cells which had been previously treated with D (DOX alone), DS, DM, DSM, ES-DM or ES-DSM (concentrations of DOX and SCH were 4.5μg/mL and 0.75μg/mL, respectively) and supplemented with hyperthermia 24h ago. After a 48h-co-incubation with the presence of 1μM (a dose that mimics the concentration of adenosine found in the tumor microenvironment) of NECA (adenosine analog), $3$  DCs were stained with the indicated antibodies including PE-CD80, APC-CD86 (BioLegend, USA) and PE-MHC II (ThermoFisher, USA), analyzed by flow cytometry. In addition, the cytokine levels in the supernatant of the co-incubation system including IL-12p70, IL-6 and IL-10 were detected using ELISA kits.

Co-incubation of tumor cells, bone-marrow-derived DCs and spleen lymphocytes. Spleen lymphocytes were extracted from the spleens of Balb/c mice using lymphocyte density gradient centrifugation with Ficoll-paque PREMIUM. The immature DCs and lymphocytes were co-incubated with 4T1 cells which had been previously treated with PBS, DS, DSM or ES-DSM (concentrations of DOX and SCH were 4.5μg/mL and 0.75μg/mL, respectively) (supplemented with or without hyperthermia) 24h ago. After a 48h-coincubation, lymphocytes were stained with the indicated antibodies including FITC-CD3, APC-CD8, PE-CD4 and Percific Blue-Foxp3 (BioLegend, USA), analyzed by flow cytometry. In addition, the cytokine levels in the supernatant of the co-incubation system including TNF-α, IL-2 and IFN-γ were detected using ELISA kits.

Besides, the immature DCs and lymphocytes were co-incubated with 4T1 cells which had been previously treated with D, DS, DM, DSM, ES-DM or ES-DSM (concentrations of DOX and SCH were 4.5μg/mL and 0.75μg/mL, respectively) and supplemented with hyperthermia 24h ago. After a 48h-co-incubation with the presence of 1μM of NECA, lymphocytes were stained with the indicated antibodies including FITC-CD3, APC-CD8, PE-CD4 and Percific Blue-Foxp3 (BioLegend, USA), analyzed by flow cytometry. In addition, the cytokine levels in the supernatant of the co-incubation system including TNF-α, IL-2 and IFNγ were detected using ELISA kits.

Biodistribution of DSM and ES-DSM. The orthotopic tumor models were established by subcutaneous injection of 4T1 cells (5×10<sup>5</sup>) dispersed in serum-free RPMI 1640 medium into the third breast pad of Balb/c mice. Treatment began when the tumor volume reached 500 mm<sup>3</sup>. For the observation and imaging of the micelles biodistribution, ICG-loaded micelles were prepared the same as DSM, and the modification of E-selectin was the same as ES-DSM. 200µL of ICG-loaded micelles or ES-ICG-loaded micelles was injected into the mice via the tail vein and at 2, 6, 12, 24h after injection, the treated mice

were anesthetized and the fluorescence images were acquired by Maestro *in vivo* imaging system. 24h after injection, the mice were sacrificed to harvest the main organs (heart, liver, spleen, lung, kidneys, and tumor). Fluorescence images were acquired, and the fluorescence intensity of these organs was measured ex vivo using an in vivo imaging system. The fluorescence of ICG and CD45 in tumors were analyzed by immunofluorescence.

In vivo antitumor study. 5×10<sup>5</sup> of 4T1 cells were orthotopically injected into one of the breast pads of Balb/c mice. After one week, the mice were randomly sorted into 8 groups (6 mice per group) to respectively receive one of the following treatments once every 3 days: Saline, Saline+MW, DS+MW, DSM+MW, ES-DSM, ES-DM+MW, ES-DSM+MW, ES-DSM+MW+anti-CD8, for 4 times of treatment. 3mg/kg DOX and 0.5mg/kg SCH per dose was used in the treatment and at 24h post-*i.v.* injection of the test agents, the mild microwave (MW) was applied locally for 30min. The microwave probe was positioned 1cm away from the fixed animal and oriented towards the tumor. The anti-CD8 antibody (BioXcell, USA) was intraperitoneal (i.p.) injected to deplete the CD8<sup>+</sup> T cells on the days of -3 and treated every 3 days until the end of monitoring. The body weight and tumor volume were monitored every 2 days and the survival time was monitored. The tumor volume was calculated using the formula:  $a^2 \times b/2$ , in which a and b represent the smallest and largest diameters of the corresponding tumor, respectively.

At the end of monitoring on day 23, the mice were sacrificed and main organs (heart, liver, spleen, lung, kidney, and tumor) were harvested and fixed in 4% paraformaldehyde, embedded in paraffin, cut into 5um slices and stained with H&E, then examined under a light microscope. The apoptosis of tumor tissue also be studied by immunofluorescence of TUNEL staining. To demonstrate the ICD of tumor tissues, CRT and HMGB1 levels were studied by immunohistochemistry. To examine the immune response, the infiltration of CD8<sup>+</sup> T cells and Tregs (Foxp3) in tumors were analyzed by immunofluorescence, while the infiltration of active T cells (CD69) and perforin were studied by immunohistochemistry. T cells (CD3<sup>+</sup>, CD8<sup>+</sup> and CD4<sup>+</sup>) in PBMC, spleen and tumor were isolated and analyzed using flow cytometry. The CD3<sup>+</sup>CD4<sup>+</sup>Foxp3<sup>+</sup> T cells in tumor and CD3<sup>+</sup>CD8<sup>+</sup>CD44<sup>+</sup> T cells in spleen and tumor were evaluated by flow cytometry. Levels of TNF-α, IFN-γ and IL-2 in serum, spleen and tumor were examined using the ELISA kits. DCs (CD11c<sup>+</sup>, CD80<sup>+</sup> and CD86<sup>+</sup>) isolated from tumor and sentinel lymph node (SLN) were also analyzed by flow cytometry.

A lung metastatic model of breast cancer was also stablished to further investigate the treatment efficacy on metastatic cancer. Initially, the orthotopical breast tumor bearing mice was established by injecting 5×10<sup>5</sup> of 4T1 cells. 6 days later, 1×10<sup>5</sup> of Luc-4T1 cells were injected intravenously. Then, the mice were randomly sorted into 5 groups (3 mice per group) to respectively receive one of the following treatments once every 3 days: Saline+MW, DS+MW, DSM+MW, ES-DM+MW, ES-DSM+MW, for 4 times of treatment. 3mg/kg DOX and 0.5mg/kg SCH per dose was used in the treatment and the MW was applied at 24h post-i.v. injection of the test agent. The growth of pulmonary metastasis tumors was monitored by IVIS Spectrum imaging system (PerkinElmer, USA) after intraperitoneal injection of D-luciferin (15mg/mL, 200

μL). At the end of monitoring on day 20, the mice were sacrificed and the fluorescence images of lungs were acquired.

Tumor recurrence and re-challenge study were further invested. The orthotopical breast tumor bearing mice was established as mentioned above and received different treatments. After 4 times of treatment, 90% of the primary tumor was removed surgically on day 12, and the tumor bed was further monitored and the volume of recurrence tumor was calculated every 2 days. Simultaneously, 5×10<sup>5</sup> of 4T1 cells were inoculated into the breast pads on the other side of mice on day12. The re-challenged tumor was also monitored every 2 days. At the end of monitoring on day 30, the mice were sacrificed and rechallenged tumor was collected to analyze the infiltration of CD8<sup>+</sup> T cells and Tregs (Foxp3) by immunofluorescence.

Statistical Analysis. Statistical calculations were performed using Prism 7 software (GraphPad). Data were expressed as the mean and SD. The abbreviation ns means no significant difference. Differences were statistically evaluated by Student's t test. The differences were considered to be statistically significant for a p value of <0.05 (\*p<0.05, \*\*p<0.01, \*\*\*p<0.001). To analyze the survival time of mice, Kaplan-Meier survival curves were generated, and Log-rank Mantel–Cox tests were performed. P values of < 0.05 were considered significant  $(*p<0.05, **p<0.01, **p<0.001)$ .

## **Declarations**

### Acknowledgements

This work was supported by National Key Research and Development Projects Intergovernmental Cooperation in Science and Technology of China [2018YFE0126900] and Natural Science Foundation of Zhejiang Province (LD21H300002).

### Author contributions.

Du Y. Z. designed and guided the overall research project. Qi J. as the first author designed the experiments and wrote the manuscript. Jin F. Y. and You Y. C. performed the actuation experiments. Du Y. and Liu D. involved the synthesis of polymers and cellular experiments. Xu X. L. and Wang J. assisted with animal maintenance. Zhu L. W., Chen M. J. and Shu G. F. involved the data analysis and other property characterizations. Wu L. M. and Ji J. S. provided intellectual input and helped interpret the results.

### Conflicts of interest

The authors declare no competing financial interest.

## References

- 1. Galluzzi, L. et al. Consensus quidelines for the definition, detection and interpretation of immunogenic cell death. J. Immunother. Cancer 8, e000337 (2020).
- 2. Zhou, J. et al. Immunogenic cell death in cancer therapy: Present and emerging inducers. J. Cell Mol. Med. 23, 4854-4865 (2019).
- 3. Garg, A. D. et al. Immunogenic cell death, DAMPs and anticancer therapeutics: an emerging amalgamation. Biochim. Biophys. Acta 1805, 53-71 (2010).
- 4. Obeid, M. et al. Calreticulin exposure dictates the immunogenicity of cancer cell death. Nat. Med. 13, 54-61 (2007).
- 5. Zitvogel, L. et al. Immunogenic tumor cell death for optimal anticancer therapy: the calreticulin exposure pathway. *Clin. Cancer Res.* **16**, 3100-3104 (2010).
- 6. Lu, J. et al. Breast Cancer Chemo-immunotherapy through Liposomal Delivery of an Immunogenic Cell Death Stimulus Plus Interference in the IDO-1 Pathway. ACS nano 12, 11041-11061 (2018).
- 7. Galluzzi, L., Buqué, A., Kepp, O., Zitvogel, L. & Kroemer, G. Immunogenic cell death in cancer and infectious disease. Nat. Rev. Immunol. 17, 97-111 (2017).
- 8. Obeid, M. et al. Calreticulin exposure dictates the immunogenicity of cancer cell death. Nat. Med. 13, 54-61 (2007).
- 9. Qi, J. et al. pH and Thermal Dual-Sensitive Nanoparticle-Mediated Synergistic Antitumor Effect of Immunotherapy and Microwave Thermotherapy. Nano Letters 19, 4949-4959 (2019).
- 10. Mei, K. C. et al. Liposomal Delivery of Mitoxantrone and a Cholesteryl Indoximod Prodrug Provides Effective Chemo-immunotherapy in Multiple Solid Tumors. ACS nano 14, 13343-13366 (2020).
- 11. Beavis, P. A., Stagg, J., Darcy, P. K. & Smyth, M. J. CD73: a potent suppressor of antitumor immune responses. Trends Immunol. 33, 231-237 (2012).
- 12. Novitskiy, S. V. et al. Adenosine receptors in regulation of dendritic cell differentiation and function. Blood 112, 1822-1831 (2008).
- 13. Young, A. et al. A2AR Adenosine Signaling Suppresses Natural Killer Cell Maturation in the Tumor Microenvironment. Cancer Res. **78**, 1003-1016 (2018).
- 14. Haskó, G., Linden, J., Cronstein, B. & Pacher, P. Adenosine receptors: therapeutic aspects for inflammatory and immune diseases. Nat. Rev. Drug Discov. 7, 759-770 (2008).
- 15. Young, A. et al. Co-inhibition of CD73 and A2AR Adenosine Signaling Improves Anti-tumor Immune Responses. *Cancer cell* **30**, 391-403 (2016).
- 16. Beavis, P. A. el al. Blockade of A2A receptors potently suppresses the metastasis of CD73+ tumors. Proc. Natl. Acad. Sci. USA 110, 14711-14716 (2013).
- 17. Harvey, J. B., Phan, L. H., Villarreal, O. E. & Bowser, J. L. CD73's Potential as an Immunotherapy Target in Gastrointestinal Cancers. Front. Immunol. 11, 508 (2020).
- 18. Young, A. et al. Targeting Adenosine in BRAF-Mutant Melanoma Reduces Tumor Growth and Metastasis. Cancer Res. 77, 4684-4696 (2017).
- 19. Leone, R. D. & Emens, L. A., Targeting adenosine for cancer immunotherapy. J. Immunother. Cancer 6, 57 (2018).
- 20. Beavis, P. A. et al. Adenosine Receptor 2A Blockade Increases the Efficacy of Anti-PD-1 through Enhanced Antitumor T-cell Responses. Cancer Immunol. Res. 3, 506-517 (2015).
- 21. Hatfield, S. M. & Sitkovsky, M. A2A adenosine receptor antagonists to weaken the hypoxia-HIF-1α driven immunosuppression and improve immunotherapies of cancer. Curr. Opin. Pharmacol. 29, 90-96 (2016).
- 22. Jin, F. et al. NIR-Triggered Sequentially Responsive Nanocarriers Amplified Cascade Synergistic Effect of Chemo-Photodynamic Therapy with Inspired Antitumor Immunity. ACS Appl. Mater. Interfaces 12, 32372-32387 (2020).
- 23. Liu, D. et al. Enhanced efficiency of mitochondria-targeted peptide SS-31 for acute kidney injury by pH-responsive and AKI-kidney targeted nanopolyplexes. Biomaterials 211, 57-67 (2019).
- 24. Yang, W. et al. Smart Nanovesicle-Mediated Immunogenic Cell Death through Tumor Microenvironment Modulation for Effective Photodynamic Immunotherapy. ACS nano 14, 620-631 (2020).
- 25. Li, W. S. et al. Mild microwave activated, chemo-thermal combinational tumor therapy based on a targeted, thermal-sensitive and magnetic micelle. Biomaterials 131, 36-46 (2017).
- 26. Zhao, T. et al. Novel hyaluronic acid-modified temperature-sensitive nanoparticles for synergistic chemo-photothermal therapy. Carbohydr. Polym. 214, 221-233 (2019).
- 27. Danhier, F. To exploit the tumor microenvironment: Since the EPR effect fails in the clinic, what is the future of nanomedicine? J. Control. Release 244, 108-121 (2016).
- 28. Schroeder, A. et al. Treating metastatic cancer with nanotechnology. Nat. Rev. Cancer 12, 39-50 (2011).
- 29. Christofori, G. New signals from the invasive front. Nature 441, 444-450 (2006).
- 30. Brown, J. M. The hypoxic cell: a target for selective cancer therapy--eighteenth Bruce F. Cain Memorial Award lecture. Cancer Res. 59, 5863-5870 (1999).
- 31. Anselmo, A. C. & Mitragotri, S. Cell-mediated delivery of nanoparticles: taking advantage of circulatory cells to target nanoparticles. J. Control. Release 190, 531-541 (2014).
- 32. Su, Y., Xie, Z., Kim, G. B., Dong, C. & Yang, J. Design strategies and applications of circulating cellmediated drug delivery systems. ACS Biomater. Sci. Eng. 1, 201-217 (2015).
- 33. Ayer, M. & Klok, H. A. Cell-mediated delivery of synthetic nano- and microparticles. J. Control. Release 259, 92-104 (2017).
- 34. Xue, J. et al. Neutrophil-mediated anticancer drug delivery for suppression of postoperative malignant glioma recurrence. Nat. Nanotechnol. **12**, 692-700 (2017).
- 35. Huang, B. et al. Active targeting of chemotherapy to disseminated tumors using nanoparticlecarrying T cells. Sci. Transl. Med. 7, 291ra94 (2015).
- 36. Mitchell, M. J., Wayne, E., Rana, K., Schaffer, C. B. & King, M. R. TRAIL-coated leukocytes that kill cancer cells in the circulation. Proc. Natl. Acad. Sci. USA 111, 930-935 (2014).
- 37. Mitchell, M. J. & King, M. R. Leukocytes as carriers for targeted cancer drug delivery. Expert Opin. Drug Deliv. 12, 375-392 (2015).
- 38. Chu, D., Dong, X., Zhao, Q., Gu, J. & Wang, Z. Photosensitization Priming of Tumor Microenvironments Improves Delivery of Nanotherapeutics via Neutrophil Infiltration. Adv. Mater. 29, 1701021, (2017).
- 39. Lu, J. et al. Breast Cancer Chemo-immunotherapy through Liposomal Delivery of an Immunogenic Cell Death Stimulus Plus Interference in the IDO-1 Pathway. ACS nano 12, 11041-11061 (2018).
- 40. Beavis, P. A. et al. Targeting the adenosine 2A receptor enhances chimeric antigen receptor T cell efficacy. J. Clin. Invest. **127**, 929-941 (2017).
- 41. Xu, Z., Wang, Y., Zhang, L. & Huang, L. Nanoparticle-Delivered Transforming Growth Factor-β siRNA Enhances Vaccination against Advanced Melanoma by Modifying Tumor Microenvironment. ACS nano 8, 3636-3645 (2014).



Characterization, thermal sensitivity and leukocyte targeting ability of ES-DSM. (a) Chemical structure of NTA-PEG-p-(AAm-co-AN). (b) 1H NMR spectra of NTA-PEG-p-(AAm-co-AN) and the characteristic peaks were marked by rectangles. (c) The transmittance of p-(AAm-co-AN) aqueous solution at different temperatures. (d) Critical micelle concentration (CMC) of NTA-PEG-p-(AAm-co-AN). (e) TEM images of blank micelles at different temperatures. (f) Hydrodynamic size and zeta potential of DSM and ES-DSM. (g) TEM image of ES-DSM. (h) Hydrodynamic size of blank micelles, DSM and ES-DSM after incubation at different temperatures for 10 min. (i) Confocal microscopy images of leukocytes 24 hours after the intravenous injection of DSM or ES-DSM. The thermal-sensitive in vitro release behavior of j) SCH and k) DOX from ES-DSM. (l) Flow cytometry analysis of leukocytes at different times after the intravenous injection of DSM or ES-DSM.



Thermal-sensitive drug release at the cellular level and cytotoxicity of DS, DSM and ES-DSM. Confocal microscopy images of 4T1 cells exposed to a) free Nile red or Nile red-loaded micelles and b) free DOX or DOX-loaded micelles and treated with (+) or without (-) hyperthermia. (c) Variations in 4T1 cell viability after exposure to DS, DSM or ES-DSM for 48 h as a function of the concentration of DOX with (+) or without (-) hyperthermia. (d) IC50 values of different treatments were calculated based on c). (e) The apoptosis results of 4T1 cells after different treatments for 24 h with or without hyperthermia detected by flow cytometry. (f) The apoptosis rate of 4T1 cells was calculated based on e). (g) Schematic showing that DOX induced ICD in 4T1 cells accompanied by CRT exposure, ATP secretion, and HMGB1 release. (h) CRT exposure of 4T1 cells after different treatments was observed by confocal microscopy. (i) Semiquantitative analysis of h) using Image J. (j) ATP secretion and k) HMGB1 release were measured by ELISA kits.



Analysis of DCs after co-incubating with tumor cells. (a) Schematic of DC maturation facilitated by tumor ICD. ADO can inhibit this process by binding to A2AR on DCs, and SCH can block this interaction and relieve immunosuppression. Flow cytometry analysis of the expression of b) CD80 and c) CD86 on DCs after co-incubation with tumor cells, as well as d) CD80 and e) CD86 on DCs after co-incubation with tumor cells in the presence of NECA. Ratios of f) CD80 and g) CD86 positive DCs calculated based on b) and c), respectively. (h) IL-12p70, i) IL-6 and j) IL-10 secreted by DCs in the co-incubation system after different treatments were detected by ELISA kits. Ratios of k) CD80 and l) CD86 positive DCs calculated based on d) and e), respectively. (m) IL-12p70, n) IL-6 and o) IL-10 secreted by DCs in the NECAcontaining co-incubation system after different treatments were detected by ELISA kits.



Analysis of T cells after co-incubating with tumor cells and DCs. (a) Schematic of T cell activation and differentiation facilitated by mature DCs. ADO can inhibit CTLs and promote Tregs by interacting with A2AR on the T cell surface, and SCH can block this interaction and relieve immunosuppression. Flow cytometry analysis of percentages of CD3+CD4+ and CD3+CD8+ T cells b) in the ternary co-incubation system and c) in the ternary co-incubation system containing NECA. Ratios of d) CD3+CD4+ and e) CD3+CD8+ T cells calculated based on b). (f) TNF-α, g) IL-2 and h) IFN-γ secreted by lymphocytes in the co-incubation system after different treatments were detected by ELISA kits. Ratios of i) CD3+CD4+ and j) CD3+CD8+ T cells calculated based on c). (k) TNF-α, l) IL-2 and m) IFN-γ secreted by lymphocytes in the NECA-containing co-incubation system after different treatments were detected by ELISA kits.



In vivo antitumor efficacy and the evaluation of pulmonary metastasis in  $4T1$  tumor models. (a) Biodistribution of ICG-loaded micelles and E-selectin-modified ICG-loaded micelles in tumor-bearing mice within 24 hours, and fluorescence images of tumors and major organs at 24 h after i.v. injection. ES refers to E-selectin. (b) Fluorescence images of ICG (red) and CD45 (green) in tumor tissues after the injection of ICG-loaded micelles or ES-modified ICG-loaded micelles. (c) Schematic of the treatment regimen. (d)

Change curves of mice weights after various treatments ( $n = 6$ ). (e, f) Curves showing tumor volumes of mice after various treatments (n = 6). (g) Survival curves of mice after various treatments (n = 6). (h) Representative photographs of harvested tumors after different treatments. (i) Number of metastatic tumor nodules on the lungs. (j) Representative photographs of tumor tissues stained by TUNEL. (k) Representative photographs of lung tissues at the end of the observation period, and the metastatic tumor nodules were marked by red circles. (l) H&E staining of lung tissues, and the tumor areas were indicated by red arrows.



Evaluation of the immune response after different treatments in 4T1 tumor models. The ratios of a) CD3+CD4+ and CD3+CD8+ T cells in tumors, b) CD4+ Foxp3+ T cells in tumors, c) CD8+ CD44+ T cells in spleens and d) CD8+ CD44+ T cells in tumors were analyzed by flow cytometry at the end of the observation period. Percentages of CD8+ CD44+ T cells in e) spleens and f) tumors were calculated based on c) and d), respectively. Antitumor cytokine levels, including g) TNF-α, h) IFN-γ and i) IL-2, in the serum, spleen and tumor of mice from each group were determined by ELISA assay. Immunohistochemistry was used to examine levels of j) HMGB1 and k) CRT in tumor sections at the end

of the observation period. Immunofluorescence was used to examine I) CD8+ T cells and m) Foxp3+ T cells in tumor sections at the end of the observation period.



Observation of pulmonary metastasis and the growth of recurrent and rechallenged tumors. (a) Schematic of the treatment regimen for the pulmonary metastatic model. (b) Luciferase bioluminescence images of Luc-4T1 pulmonary metastatic tumor during the treatments. (c) Representative luciferase bioluminescence images of lungs on day 20 after different treatments. (d) Schematic of the treatment regimen for the recurrent and rechallenged tumor models. Curves showing volumes of e) recurrent and f) rechallenged tumors of mice after various treatments ( $n = 6$ ). (g) Immunofluorescence was used to examine CD8+ T cells and Foxp3+ T cells in rechallenged tumor sections at the end of the observation period.

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Scheme1.pdf](https://assets.researchsquare.com/files/rs-152217/v1/5105298610a4a38df50c7262.pdf)
- [SupplementaryInformation.docx](https://assets.researchsquare.com/files/rs-152217/v1/a5cde109201d866d3e0554bb.docx)